This is a Continuation Application of USSN 09/112,806 filed July 10, 1998

TITLE OF INVENTION

"SYMMETRIC INK JET APPARATUS"

INVENTOR:

Kia Silverbrook and Greg McAvoy

CROSS REFERENCES TO RELATED APPLICATIONS

This application is a continuation application of our co-pending application number 09/112,806 filed July 10, 1998 and which has been allowed. The disclosure of 09/112,806 is specifically incorporated herein by reference.

The following Australian provisional patent applications are hereby incorporated by cross-reference. For the purposes of location and identification, US patent applications identified by their US patent application serial numbers (USSN) are listed alongside the Australian applications from which the US patent applications claim the right of priority.

CROSS-REFERENCED AUSTRALIAN PROVISIONAL PATENT APPLICATION NO.	US PATENT/PATENT APPLICATION (CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN PROVISIONAL APPLICATION)	DOCKET NO.
PO7991	09/113,060	ART01
PO8505	09/113,070	ART02
PO7988	09/113,073	ART03
PO9395	09/112,748	ART04
PO8017	09/112,747	ART06
PO8014	09/112,776	ART07
PO8025	09/112,750	ART08
PO8032	09/112,746	ART09
PO7999	09/112,743	ART10
PO7998	09/112,742	ART11
PO8031	09/112,741	ART12
PO8030	09/112,740	ART13
PO7997	09/112,739	ART15
PO7979	09/113,053	ART16
PO8015	09/112,738	ART17
PO7978	09/113,067	ART18
PO7982	09/113,063	ART19

CROSS-REFERENCED AUSTRALIAN PROVISIONAL PATENT APPLICATION NO.	US PATENT/PATENT APPLICATION (CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN PROVISIONAL APPLICATION)	DOCKET NO.
PO7989	09/113,069	ART20
PO8019	09/112,744	ART21
PO7980	09/113,058	ART22
PO8018	09/112,777	ART24
PO7938	09/113,224	ART25
PO8016	09/112,804	ART26
PO8024	09/112,805	ART27
PO7940	09/113,072	ART28
PO7939	09/112,785	ART29
PO8501	09/112,797	ART30
PO8500	09/112,796	ART31
PO7987	09/113,071	ART32
PO8022	09/112,824	ART33
PO8497	09/113,090	ART34
PO8020	09/112,823	ART38
PO8023	09/113,222	ART39
PO8504	09/112,786	ART42
PO8000	09/113,051	ART43
PO7977	09/112,782	ART44
PO7934	09/113,056	ART45
PO7990	09/113,059	ART46
PO8499	09/113,091	ART47
PO8502	09/112,753	ART48
PO7981	09/113,055	ART50
PO7986	09/113,057	ART51
PO7983	09/113,054	ART52
PO8026	09/112,752	ART53
PO8027	09/112,759	ART54
PO8028	09/112,757	ART56
PO9394	09/112,758	ART57
PO9396	09/113,107	ART58
PO9397	09/112,829	ART59
PO9398	09/112,792	ART60
PO9399	6,106,147	ART61
PO9400	09/112,790	ART62
PO9401	09/112,789	ART63
PO9402	09/112,788	ART64
PO9403	09/112,795	ART65
PO9405	09/112,749	ART66
PP0959	09/112,784	ART68
PP1397	09/112,783	ART69
PP2370	09/112,781	DOT01

CROSS-REFERENCED AUSTRALIAN PROVISIONAL PATENT APPLICATION NO.	US PATENT/PATENT APPLICATION (CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN PROVISIONAL APPLICATION)	DOCKET NO.
PP2371	09/113,052	DOT02
PO8003	09/112,834	Fluid01
PO8005	09/113,103	Fluid02
PO9404	09/113,101	Fluid03
PO8066	09/112,751	IJ01
PO8072	09/112,787	IJ02
PO8040	09/112,802	IJ03
PO8071	09/112,803	IJ04
PO8047	09/113,097	IJ05
PO8035	09/113,099	IJ06
PO8044	09/113,084	IJ07
PO8063	09/113,066	IJ08
PO8057	09/112,778	IJ09
PO8056	09/112,779	IJ10
PO8069	09/113,077	IJ11
PO8049	09/113,061	IJ12
PO8036	09/112,818	IJ13
PO8048	09/112,816	IJ14
PO8070	09/112,772	IJ15
PO8067	09/112,819	IJ16
PO8001	09/112,815	IJ17
PO8038	09/113,096	IJ18
PO8033	09/113,068	IJ19
PO8002	09/113,095	IJ20
PO8068	09/112,808	IJ21
PO8062	09/112,809	IJ22
PO8034	09/112,780	IJ23
PO8039	09/113,083	IJ24
PO8041	09/113,121	IJ25
PO8004	09/113,122	IJ26
PO8037	09/112,793	IJ27
PO8043	09/112,794	IJ28
PO8042	09/113,128	IJ29
PO8064	09/113,127	IJ30
PO9389	09/112,756	IJ31
PO9391	09/112,755	IJ32
PP0888	09/112,754	IJ33
PP0891	09/112,811	IJ34
PP0890	09/112,812	IJ35
PP0873	09/112,813	IJ36
PP0993	09/112,814	IJ37
PP0890	09/112,764	IJ38

CROSS-REFERENCED AUSTRALIAN PROVISIONAL PATENT APPLICATION NO.	US PATENT/PATENT APPLICATION (CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN PROVISIONAL APPLICATION)	DOCKET NO.
PP1398	09/112,765	IJ39
PP2592	09/112,767	IJ40
PP2593	09/112,768	IJ41
PP3991	09/112,807	IJ42
PP3987	09/112,806	IJ43
PP3985	09/112,820	IJ44
PP3983	09/112,821	IJ45
PO7935	09/112,822	IJM01
PO7936	09/112,825	IJM02
PO7937	09/112,826	IJM03
PO8061	09/112,827	IJM04
PO8054	09/112,828	IJM05
PO8065	6,071,750	IJM06
PO8055	09/113,108	IJM07
PO8053	09/113,109	IJM08
PO8078	09/113,123	IJM09
PO7933	09/113,114	IJM10
PO7950	09/113,115	IJM11
PO7949	09/113,129	IJM12
PO8060	09/113,124	IJM13
PO8059	09/113,125	IJM14
PO8073	09/113,126	IJM15
PO8076	09/113,119	IJM16
PO8075	09/113,120	IJM17
PO8079	09/113,221	IJM18
PO8050	09/113,116	IJM19
PO8052	09/113,118	IJM20
PO7948	09/113,117	IJM21
PO7951	09/113,113	IJM22
PO8074	09/113,130	IJM23
PO7941	09/113,110	IJM24
PO8077	09/113,112	IJM25
PO8058	09/113,087	IJM26
PO8051	09/113,074	IJM27
PO8045	6,111,754	IJM28
PO7952	09/113,088	IJM29
PO8046	09/112,771	IJM30
PO9390	09/112,769	IJM31
PO9392	09/112,770	IJM32
PP0889	09/112,798	IJM35
PP0887	09/112,801	IJM36
PP0882	09/112,800	IJM37

CROSS-REFERENCED AUSTRALIAN PROVISIONAL PATENT APPLICATION NO.	US PATENT/PATENT APPLICATION (CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN PROVISIONAL APPLICATION)	DOCKET NO.
PP0874	09/112,799	IJM38
PP1396	09/113,098	IJM39
PP3989	09/112,833	IJM40
PP2591	09/112,832	IJM41
PP3990	09/112,831	IJM42
PP3986	09/112,830	IJM43
PP3984	09/112,836	IJM44
PP3982	09/112,835	IJM45
PP0895	09/113,102	IR01
PP0870	09/113,106	IR02
PP0869	09/113,105	IR04
PP0887	09/113,104	IR05
PP0885	09/112,810	IR06
PP0884	09/112,766	IR10
PP0886	09/113,085	IR12
PP0871	09/113,086	IR13
PP0876	09/113,094	IR14
PP0877	09/112,760	IR16
PP0878	09/112,773	IR17
PP0879	09/112,774	IR18
PP0883	09/112,775	IR19
PP0880	09/112,745	IR20
PP0881	09/113,092	IR21
PO8006	6,087,638	MEMS02
PO8007	09/113,093	MEMS03
PO8008	09/113,062	MEMS04
PO8010	6,041,600	MEMS05
PO8011	09/113,082	MEMS06
PO7947	6,067,797	MEMS07
PO7944	09/113,080	MEMS09
PO7946	6,044,646	MEMS10
PO9393	09/113,065	MEMS11
PP0875	09/113,078	MEMS12
PP0894	09/113,075	MEMS13

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

Not applicable.

FIELD OF THE INVENTION

The present invention relates to the field of inkjet printing and, in particular, discloses an inverted radial back-curling thermoelastic ink jet printing mechanism.

BACKGROUND OF THE INVENTION

Many different types of printing mechanisms have been invented, a large number of which are presently in use. The known forms of printers have a variety of methods for marking the print media with a relevant marking media. Commonly used forms of printing include offset printing, laser printing and copying devices, dot matrix type impact printers, thermal paper printers, film recorders, thermal wax printers, dye sublimation printers and ink jet printers both of the drop on demand and continuous flow type. Each type of printer has its own advantages and problems when considering cost, speed, quality, reliability, simplicity of construction and operation etc.

In recent years the field of ink jet printing, wherein each individual pixel of ink is derived from one or more ink nozzles, has become increasingly popular primarily due to its inexpensive and versatile nature.

Many different techniques of ink jet printing have been invented. For a survey of the field, reference is made to an article by J Moore, "Non-Impact Printing: Introduction and Historical Perspective", Output Hard Copy Devices, Editors R Dubeck and S Sherr, pages 207 - 220 (1988).

Ink Jet printers themselves come in many different forms. The utilization of a continuous stream of ink in ink jet printing appears to date back to at least 1929 wherein US Patent No. 1941001 by Hansell discloses a simple form of continuous stream electro-static ink jet printing.

US Patent 3596275 by Sweet also discloses a process of a continuous ink jet printing including a step wherein the ink jet stream is modulated by a high frequency electro-static field so as to cause drop separation. This technique is still utilized by several manufacturers including Elmjet and Scitex (see also US Patent No. 3373437 by Sweet et al).

Piezoelectric ink jet printers are also one form of commonly utilized ink jet printing device. Piezoelectric systems are disclosed by Kyser et. al. in US Patent No. 3946398 (1970) which utilizes a diaphragm mode of operation, by Zolten in US Patent 3683212 (1970) which discloses a squeeze mode form of operation of a piezoelectric crystal, Stemme in US Patent No. 3747120 (1972) which discloses a bend mode of piezoelectric operation, Howkins in US Patent No. 4459601 which discloses a piezoelectric push mode actuation of the ink jet stream and Fischbeck in US 4584590 which discloses a shear mode type of piezoelectric transducer element.

Recently, thermal ink jet printing has become an extremely popular form of ink jet printing. The ink jet printing techniques include those disclosed by Endo et al in GB 2007162 (1979) and Vaught et al in US Patent 4490728. Both the aforementioned references disclose ink jet printing techniques which rely on the activation of an electrothermal actuator which results in the creation of a bubble in a constricted space, such as a nozzle, which thereby causes the ejection of ink from an aperture connected to the confined space onto a relevant print media. Printing devices utilizing the electro-thermal actuator are manufactured by manufacturers such as Canon and Hewlett Packard.

As can be seen from the foregoing, many different types of printing technologies are available. Ideally, a printing technology should have a number of desirable attributes. These include inexpensive construction and operation, high speed operation, safe and continuous long term operation etc. Each technology may have its own advantages and disadvantages in the areas of cost, speed, quality, reliability, power usage, simplicity of construction and operation, durability and consumables.

SUMMARY OF THE INVENTION

In accordance with a first aspect of the present invention, there is provided a nozzle arrangement for an ink jet printhead, the arrangement comprising: a nozzle chamber defined in a wafer substrate for the storage of ink to be ejected; an ink ejection port having a rim formed on one wall of the chamber; and a series of actuators attached to the wafer substrate, and forming a portion of the wall of the nozzle chamber adjacent the rim, the actuator paddles further being actuated in unison so as to eject ink from the nozzle chamber via the ink ejection nozzle.

The actuators can include a surface which bends inwards away from the centre of the nozzle chamber upon actuation. The actuators are preferably actuated by means of a thermal actuator device. The thermal actuator device may comprise a conductive resistive heating element encased within a material having a high coefficient of thermal expansion. The element can be serpentine to allow for substantially unhindered expansion of the material. The actuators are preferably arranged radially around the nozzle rim.

The actuators can form a membrane between the nozzle chamber and an external atmosphere of the arrangement and the actuators bend away from the external atmosphere to cause an increase in pressure within the nozzle chamber thereby initiating a consequential ejection of ink from the nozzle chamber. The actuators can bend away from a central axis of the nozzle chamber.

The nozzle arrangement can be formed on the wafer substrate utilizing micro-electro mechanical techniques and further can comprise an ink supply channel in communication with the

nozzle chamber. The ink supply channel may be etched through the wafer. The nozzle arrangement may include a series of struts which support the nozzle rim.

The arrangement can be formed adjacent to neighbouring arrangements so as to form a pagewidth printhead.

BRIEF DESCRIPTION OF THE DRAWINGS

Notwithstanding any other forms which may fall within the scope of the present invention, preferred forms of the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Figs. 1-3 are schematic sectional views illustrating the operational principles of the preferred embodiment;

Fig. 4(a) and Fig. 4(b) are again schematic sections illustrating the operational principles of the thermal actuator device;

Fig. 5 is a side perspective view, partly in section, of a single nozzle arrangement constructed in accordance with the preferred embodiments;

Figs. 6-13 are side perspective views, partly in section, illustrating the manufacturing steps of the preferred embodiments;

Fig. 14 illustrates an array of ink jet nozzles formed in accordance with the manufacturing procedures of the preferred embodiment;

Fig. 15 provides a legend of the materials indicated in Figs. 16 to 23; and

Fig. 16 to Fig. 23 illustrate sectional views of the manufacturing steps in one form of construction of a nozzle arrangement in accordance with the invention.

DESCRIPTION OF PREFERRED AND OTHER EMBODIMENTS

In the preferred embodiment, ink is ejected out of a nozzle chamber via an ink ejection port using a series of radially positioned thermal actuator devices that are arranged about the ink ejection port and are activated to pressurize the ink within the nozzle chamber thereby causing the ejection of ink through the ejection port.

Turning now to Figs 1, 2 and 3, there is illustrated the basic operational principles of the preferred embodiment. Fig. 1 illustrates a single nozzle arrangement 1 in its quiescent state. The arrangement 1 includes a nozzle chamber 2 which is normally filled with ink so as to form a meniscus 3 in an ink ejection port 4. The nozzle chamber 2 is formed within a wafer 5. The nozzle

chamber 2 is supplied with ink via an ink supply channel 6 which is etched through the wafer 5 with a highly isotropic plasma etching system. A suitable etcher can be the Advance Silicon Etch (ASE) system available from Surface Technology Systems of the United Kingdom.

A top of the nozzle arrangement 1 includes a series of radially positioned actuators 8, 9. These actuators comprise a polytetrafluoroethylene (PTFE) layer and an internal serpentine copper core 17. Upon heating of the copper core 17, the surrounding PTFE expands rapidly resulting in a generally downward movement of the actuators 8, 9. Hence, when it is desired to eject ink from the ink ejection port 4, a current is passed through the actuators 8, 9 which results in them bending generally downwards as illustrated in Fig. 2. The downward bending movement of the actuators 8, 9 results in a substantial increase in pressure within the nozzle chamber 2. The increase in pressure in the nozzle chamber 2 results in an expansion of the meniscus 3 as illustrated in Fig. 2.

The actuators 8, 9 are activated only briefly and subsequently deactivated. Consequently, the situation is as illustrated in Fig. 3 with the actuators 8, 9 returning to their original positions. This results in a general inflow of ink back into the nozzle chamber 2 and a necking and breaking of the meniscus 3 resulting in the ejection of a drop 12. The necking and breaking of the meniscus 3 is a consequence of the forward momentum of the ink associated with drop 12 and the backward pressure experienced as a result of the return of the actuators 8, 9 to their original positions. The return of the actuators 8,9 also results in a general inflow of ink from the channel 6 as a result of surface tension effects and, eventually, the state returns to the quiescent position as illustrated in Fig. 1.

Figs 4(a) and 4(b) illustrate the principle of operation of the thermal actuator. The thermal actuator is preferably constructed from a material 14 having a high coefficient of thermal expansion. Embedded within the material 14 are a series of heater elements 15 which can be a series of conductive elements designed to carry a current. The conductive elements 15 are heated by passing a current through the elements 15 with the heating resulting in a general increase in temperature in the area around the heating elements 15. The position of the elements 15 is such that uneven heating of the material 14 occurs. The uneven increase in temperature causes a corresponding uneven expansion of the material 14. Hence, as illustrated in Fig. 4(b), the PTFE is bent generally in the direction shown.

In Fig. 5, there is illustrated a side perspective view of one embodiment of a nozzle arrangement constructed in accordance with the principles previously outlined. The nozzle chamber 2 is formed with an isotropic surface etch of the wafer 5. The wafer 5 can include a CMOS layer

including all the required power and drive circuits. Further, the actuators 8, 9 each have a leaf or petal formation which extends towards a nozzle rim 28 defining the ejection port 4. The normally inner end of each leaf or petal formation is displaceable with respect to the nozzle rim 28. Each activator 8, 9 has an internal copper core 17 defining the element 15. The core 17 winds in a serpentine manner to provide for substantially unhindered expansion of the actuators 8, 9. The operation of the actuators 8, 9 is as illustrated in Fig. 4(a) and Fig. 4(b) such that, upon activation, the actuators 8 bend as previously described resulting in a displacement of each petal formation away from the nozzle rim 28 and into the nozzle chamber 2. The ink supply channel 6 can be created via a deep silicon back edge of the wafer 5 utilizing a plasma etcher or the like. The copper or aluminium core 17 can provide a complete circuit. A central arm 18 which can include both metal and PTFE portions provides the main structural support for the actuators 8, 9.

Turning now to Fig. 6 to Fig. 13, one form of manufacture of the nozzle arrangement 1 in accordance with the principles of the preferred embodiment is shown. The nozzle arrangement 1 is preferably manufactured using microelectromechanical (MEMS) techniques and can include the following construction techniques:

As shown initially in Fig. 6, the initial processing starting material is a standard semi-conductor wafer 20 having a complete CMOS level 21 to a first level of metal. The first level of metal includes portions 22 which are utilized for providing power to the thermal actuators 8, 9.

The first step, as illustrated in Fig. 7, is to etch a nozzle region down to the silicon wafer 20 utilizing an appropriate mask.

Next, as illustrated in Fig. 8, a 2μm layer of polytetrafluoroethylene (PTFE) is deposited and etched so as to define vias 24 for interconnecting multiple levels.

Next, as illustrated in Fig. 9, the second level metal layer is deposited, masked and etched to define a heater structure 25. The heater structure 25 includes via 26 interconnected with a lower aluminium layer.

Next, as illustrated in Fig. 10, a further 2μm layer of PTFE is deposited and etched to the depth of 1μm utilizing a nozzle rim mask to define the nozzle rim 28 in addition to ink flow guide rails 29 which generally restrain any wicking along the surface of the PTFE layer. The guide rails 29 surround small thin slots and, as such, surface tension effects are a lot higher around these slots which in turn results in minimal outflow of ink during operation.

Next, as illustrated in Fig. 11, the PTFE is etched utilizing a nozzle and actuator mask to define a port portion 30 and slots 31 and 32.

Next, as illustrated in Fig. 12, the wafer is crystallographically etched on a < 111 > plane utilizing a standard crystallographic etchant such as KOH. The etching forms a chamber 33, directly below the port portion 30.

In Fig. 13, the ink supply channel 34 can be etched from the back of the wafer utilizing a highly anisotropic etcher such as the STS etcher from Silicon Technology Systems of United Kingdom. An array of ink jet nozzles can be formed simultaneously with a portion of an array 36 being illustrated in Fig. 14. A portion of the printhead is formed simultaneously and diced by the STS etching process. The array 36 shown provides for four column printing with each separate column attached to a different colour ink supply channel being supplied from the back of the wafer. Bond pads 37 provide for electrical control of the ejection mechanism.

In this manner, large pagewidth printheads can be fabricated so as to provide for a drop-on-demand ink ejection mechanism.

One form of detailed manufacturing process which can be used to fabricate monolithic ink jet printheads operating in accordance with the principles taught by the present embodiment can proceed utilizing the following steps:

1. Using a double-sided polished wafer 60, complete a 0.5 micron, one poly, 2 metal CMOS process 61. This step is shown in Fig. 16. For clarity, these diagrams may not be to scale, and may not represent a cross section though any single plane of the nozzle. Fig. 15 is a key to representations of various materials in these manufacturing diagrams, and those of other cross referenced ink jet configurations.

2. Etch the CMOS oxide layers down to silicon or second level metal using Mask 1. This mask defines the nozzle cavity and the edge of the chips. This step is shown in Fig. 16.

3. Deposit a thin layer (not shown) of a hydrophilic polymer, and treat the surface of this polymer for PTFE adherence.

4. Deposit 1.5 microns of polytetrafluoroethylene (PTFE) 62.

5. Etch the PTFE and CMOS oxide layers to second level metal using Mask 2. This mask defines the contact vias for the heater electrodes. This step is shown in Fig. 17.

6. Deposit and pattern 0.5 microns of gold 63 using a lift-off process using Mask 3. This mask defines the heater pattern. This step is shown in Fig. 18.

7. Deposit 1.5 microns of PTFE 64.

8. Etch 1 micron of PTFE using Mask 4. This mask defines the nozzle rim 65 and the rim at the edge 66 of the nozzle chamber. This step is shown in Fig. 19.

9. Etch both layers of PTFE and the thin hydrophilic layer down to silicon using Mask 5. This mask defines a gap 67 at inner edges of the actuators, and the edge of the chips. It also forms the mask for a subsequent crystallographic etch. This step is shown in Fig. 20.

10. Crystallographically etch the exposed silicon using KOH. This etch stops on <111> crystallographic planes 68, forming an inverted square pyramid with sidewall angles of 54.74 degrees. This step is shown in Fig. 21.

11. Back-etch through the silicon wafer (with, for example, an ASE Advanced Silicon Etcher from Surface Technology Systems) using Mask 6. This mask defines the ink inlets 69 which are etched through the wafer. The wafer is also diced by this etch. This step is shown in Fig. 22.

12. Mount the printheads in their packaging, which may be a molded plastic former incorporating ink channels which supply the appropriate color ink to the ink inlets 69 at the back of the wafer.

13. Connect the printheads to their interconnect systems. For a low profile connection with minimum disruption of airflow, TAB may be used. Wire bonding may also be used if the printer is to be operated with sufficient clearance to the paper.

14. Fill the completed print heads with ink 70 and test them. A filled nozzle is shown in Fig. 23.

The presently disclosed ink jet printing technology is potentially suited to a wide range of printing systems including: color and monochrome office printers, short run digital printers, high speed digital printers, offset press supplemental printers, low cost scanning printers high speed pagewidth printers, notebook computers with inbuilt pagewidth printers, portable color and monochrome printers, color and monochrome copiers, color and monochrome facsimile machines, combined printer, facsimile and copying machines, label printers, large format plotters, photograph copiers, printers for digital photographic "minilabs", video printers, PHOTO CD (PHOTO CD is a registered trade mark of the Eastman Kodak Company) printers, portable printers for PDAs, wallpaper printers, indoor sign printers, billboard printers, fabric printers, camera printers and fault tolerant commercial printer arrays.

It would be appreciated by a person skilled in the art that numerous variations and/or modifications may be made to the present invention as shown in the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects to be illustrative and not restrictive.

Ink Jet Technologies

The embodiments of the invention use an ink jet printer type device. Of course many different devices could be used. However presently popular ink jet printing technologies are unlikely to be suitable.

The most significant problem with thermal ink jet is power consumption. This is approximately 100 times that required for high speed, and stems from the energy-inefficient means of drop ejection. This involves the rapid boiling of water to produce a vapor bubble which expels the ink. Water has a very high heat capacity, and must be superheated in thermal ink jet applications. This leads to an efficiency of around 0.02%, from electricity input to drop momentum (and increased surface area) out.

The most significant problem with piezoelectric ink jet is size and cost. Piezoelectric crystals have a very small deflection at reasonable drive voltages, and therefore require a large area for each nozzle. Also, each piezoelectric actuator must be connected to its drive circuit on a separate substrate. This is not a significant problem at the current limit of around 300 nozzles per printhead, but is a major impediment to the fabrication of pagewidth printheads with 19,200 nozzles.

Ideally, the ink jet technologies used meet the stringent requirements of in-camera digital color printing and other high quality, high speed, low cost printing applications. To meet the requirements of digital photography, new ink jet technologies have been created. The target features include:

low power (less than 10 Watts)

high resolution capability (1,600 dpi or more)

photographic quality output

low manufacturing cost

small size (pagewidth times minimum cross section)

high speed (< 2 seconds per page).

All of these features can be met or exceeded by the ink jet systems described below with differing levels of difficulty. Forty-five different ink jet technologies have been developed by the Assignee to give a wide range of choices for high volume manufacture. These technologies form part of separate applications assigned to the present Assignee as set out in the table below under the heading Cross References to Related Applications.

The ink jet designs shown here are suitable for a wide range of digital printing systems, from battery powered one-time use digital cameras, through to desktop and network printers, and through to commercial printing systems.

For ease of manufacture using standard process equipment, the printhead is designed to be a

monolithic 0.5 micron CMOS chip with MEMS post processing. For color photographic applications, the printhead is 100 mm long, with a width which depends upon the ink jet type. The smallest printhead designed is IJ38, which is 0.35 mm wide, giving a chip area of 35 square mm. The printheads each contain 19,200 nozzles plus data and control circuitry.

Ink is supplied to the back of the printhead by injection molded plastic ink channels. The molding requires 50 micron features, which can be created using a lithographically micromachined insert in a standard injection molding tool. Ink flows through holes etched through the wafer to the nozzle chambers fabricated on the front surface of the wafer. The printhead is connected to the camera circuitry by tape automated bonding.

Tables of Drop-on-Demand Ink Jets

Eleven important characteristics of the fundamental operation of individual ink jet nozzles have been identified. These characteristics are largely orthogonal, and so can be elucidated as an eleven dimensional matrix. Most of the eleven axes of this matrix include entries developed by the present assignee.

The following tables form the axes of an eleven dimensional table of ink jet types.

Actuator mechanism (18 types)

Basic operation mode (7 types)

Auxiliary mechanism (8 types)

Actuator amplification or modification method (17 types)

Actuator motion (19 types)

Nozzle refill method (4 types)

Method of restricting back-flow through inlet (10 types)

Nozzle clearing method (9 types)

Nozzle plate construction (9 types)

Drop ejection direction (5 types)

Ink type (7 types)

The complete eleven dimensional table represented by these axes contains 36.9 billion possible configurations of ink jet nozzle. While not all of the possible combinations result in a viable ink jet technology, many million configurations are viable. It is clearly impractical to elucidate all of the possible configurations. Instead, certain ink jet types have been investigated in detail. These are designated IJ01 to IJ45 above which matches the docket numbers in the table under the heading Cross References to Related Applications.

Other ink jet configurations can readily be derived from these forty-five examples by substituting alternative configurations along one or more of the 11 axes. Most of the IJ01 to IJ45 examples can be made into ink jet printheads with characteristics superior to any currently available ink jet technology.

Where there are prior art examples known to the inventor, one or more of these examples are listed in the examples column of the tables below. The IJ01 to IJ45 series are also listed in the examples column. In some cases, print technology may be listed more than once in a table, where it shares characteristics with more than one entry.

Suitable applications for the ink jet technologies include: Home printers, Office network printers, Short run digital printers, Commercial print systems, Fabric printers, Pocket printers, Internet WWW printers, Video printers, Medical imaging, Wide format printers, Notebook PC printers, Fax machines, Industrial printing systems, Photocopiers, Photographic minilabs etc.

The information associated with the aforementioned 11 dimensional matrix are set out in the following tables.

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
Thermal bubble	An electrothermal heater heats the ink to above boiling point, transferring significant heat to the aqueous ink. A bubble nucleates and quickly forms, expelling the ink. The efficiency of the process is low, with typically less than 0.05% of the electrical energy being transformed into kinetic energy of the drop.	◆ Large force generated ◆ Simple construction ◆ No moving parts ◆ Fast operation ◆ Small chip area required for actuator	◆ High power ◆ Ink carrier limited to water ◆ Low efficiency ◆ High temperatures required ◆ High mechanical stress ◆ Unusual materials required ◆ Large drive transistors ◆ Cavitation causes actuator failure ◆ Kogation reduces bubble formation ◆ Large print heads are difficult to fabricate	◆ Canon Bubblejet 1979 Endo et al GB patent 2,007,162 ◆ Xerox heater-in-pit 1990 Hawkins et al USP 4,899,181 ◆ Hewlett-Packard TIJ 1982 Vaught et al USP 4,490,728
Piezo-electric	A piezoelectric crystal such as lead lanthanum zirconate (PZT) is electrically activated, and either expands, shears, or bends to apply pressure to the ink, ejecting drops.	◆ Low power consumption ◆ Many ink types can be used ◆ Fast operation ◆ High efficiency	◆ Very large area required for actuator ◆ Difficult to integrate with electronics ◆ High voltage drive transistors required ◆ Full pagewidth print heads impractical due to actuator size ◆ Requires electrical poling in high field strengths during manufacture	◆ Kyser et al USP 3,946,398 ◆ Zoltan USP 3,683,212 ◆ 1973 Stemme USP 3,747,120 ◆ Epson Stylus ◆ Tektronix ◆ IJ04

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
Electro-strictive	An electric field is used to activate electrostriction in relaxor materials such as lead lanthanum zirconate titanate (PLZT) or lead magnesium niobate (PMN).	◆ Low power consumption ◆ Many ink types can be used ◆ Low thermal expansion ◆ Electric field strength required (approx. 3.5 V/μm) can be generated without difficulty ◆ Does not require electrical poling	◆ Low maximum strain (approx. 0.01%) ◆ Large area required for actuator due to low strain ◆ Response speed is marginal (~ 10 μs) ◆ High voltage drive transistors required ◆ Full pagewidth print heads impractical due to actuator size	◆ Seiko Epson, Usui et all JP 253401/96 ◆ IJ04
Ferro-electric	An electric field is used to induce a phase transition between the antiferroelectric (AFE) and ferroelectric (FE) phase. Perovskite materials such as tin modified lead lanthanum zirconate titanate (PLZSnT) exhibit large strains of up to 1% associated with the AFE to FE phase transition.	◆ Low power consumption ◆ Many ink types can be used ◆ Fast operation (< 1 μs) ◆ Relatively high longitudinal strain ◆ High efficiency ◆ Electric field strength of around 3 V/μm can be readily provided	◆ Difficult to integrate with electronics ◆ Unusual materials such as PLZSnT are required ◆ Actuators require a large area	◆ IJ04
Electro-static plates	Conductive plates are separated by a compressible or fluid dielectric (usually air). Upon application of a voltage, the plates attract each other and displace ink, causing drop ejection. The conductive plates may be in a comb or honeycomb structure, or stacked to increase the surface area and therefore the force.	◆ Low power consumption ◆ Many ink types can be used ◆ Fast operation	◆ Difficult to operate electrostatic devices in an aqueous environment ◆ The electrostatic actuator will normally need to be separated from the ink ◆ Very large area required to achieve high forces ◆ High voltage drive transistors may be required ◆ Full pagewidth print heads are not competitive due to actuator size	◆ IJ02, IJ04

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
Electro-static pull on ink	A strong electric field is applied to the ink, whereupon electrostatic attraction accelerates the ink towards the print medium.	◆ Low current consumption ◆ Low temperature	◆ High voltage required ◆ May be damaged by sparks due to air breakdown ◆ Required field strength increases as the drop size decreases ◆ High voltage drive transistors required ◆ Electrostatic field attracts dust	◆ 1989 Saito et al, USP 4,799,068 ◆ 1989 Miura et al, USP 4,810,954 ◆ Tone-jet
Permanent magnet electro-magnetic	An electromagnet directly attracts a permanent magnet, displacing ink and causing drop ejection. Rare earth magnets with a field strength around 1 Tesla can be used. Examples are: Samarium Cobalt (SaCo) and magnetic materials in the neodymium iron boron family (NdFeB, NdDyFeBNb, NdDyFeB, etc)	◆ Low power consumption ◆ Many ink types can be used ◆ Fast operation ◆ High efficiency ◆ Easy extension from single nozzles to pagewidth print heads	◆ Complex fabrication ◆ Permanent magnetic material such as Neodymium Iron Boron (NdFeB) required. ◆ High local currents required ◆ Copper metalization should be used for long electromigration lifetime and low resistivity ◆ Pigmented inks are usually infeasible ◆ Operating temperature limited to the Curie temperature (around 540 K)	◆ IJ07, IJ10

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
Soft magnetic core electro-magnetic	A solenoid induced a magnetic field in a soft magnetic core or yoke fabricated from a ferrous material such as electroplated iron alloys such as CoNiFe [1], CoFe, or NiFe alloys. Typically, the soft magnetic material is in two parts, which are normally held apart by a spring. When the solenoid is actuated, the two parts attract, displacing the ink.	◆ Low power consumption ◆ Many ink types can be used ◆ Fast operation ◆ High efficiency ◆ Easy extension from single nozzles to pagewidth print heads ◆	◆ Complex fabrication ◆ Materials not usually present in a CMOS fab such as NiFe, CoNiFe, or CoFe are required ◆ High local currents required ◆ Copper metalization should be used for long electromigration lifetime and low resistivity ◆ Electroplating is required ◆ High saturation flux density is required (2.0-2.1 T is achievable with CoNiFe [1])	◆ IJ01, IJ05, IJ08, IJ10, IJ12, IJ14, IJ15, IJ17
Lorenz force	The Lorenz force acting on a current carrying wire in a magnetic field is utilized. This allows the magnetic field to be supplied externally to the print head, for example with rare earth permanent magnets. Only the current carrying wire need be fabricated on the print-head, simplifying materials requirements.	◆ Low power consumption ◆ Many ink types can be used ◆ Fast operation ◆ High efficiency ◆ Easy extension from single nozzles to pagewidth print heads	◆ Force acts as a twisting motion ◆ Typically, only a quarter of the solenoid length provides force in a useful direction ◆ High local currents required ◆ Copper metalization should be used for long electromigration lifetime and low resistivity ◆ Pigmented inks are usually infeasible	◆ IJ06, IJ11, IJ13, IJ16

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
Magneto-striction	The actuator uses the giant magnetostrictive effect of materials such as Terfenol-D (an alloy of terbium, dysprosium and iron developed at the Naval Ordnance Laboratory, hence Ter-Fe-NOL). For best efficiency, the actuator should be pre-stressed to approx. 8 MPa.	◆ Many ink types can be used ◆ Fast operation ◆ Easy extension from single nozzles to pagewidth print heads ◆ High force is available	◆ Force acts as a twisting motion ◆ Unusual materials such as Terfenol-D are required ◆ High local currents required ◆ Copper metalization should be used for long electromigration lifetime and low resistivity ◆ Pre-stressing may be required	◆ Fischenbeck, USP 4,032,929 ◆ IJ25
Surface tension reduction	Ink under positive pressure is held in a nozzle by surface tension. The surface tension of the ink is reduced below the bubble threshold, causing the ink to egress from the nozzle.	◆ Low power consumption ◆ Simple construction ◆ No unusual materials required in fabrication ◆ High efficiency ◆ Easy extension from single nozzles to pagewidth print heads	◆ Requires supplementary force to effect drop separation ◆ Requires special ink surfactants ◆ Speed may be limited by surfactant properties	◆ Silverbrook, EP 0771 658 A2 and related patent applications
Viscosity reduction	The ink viscosity is locally reduced to select which drops are to be ejected. A viscosity reduction can be achieved electrothermally with most inks, but special inks can be engineered for a 100:1 viscosity reduction.	◆ Simple construction ◆ No unusual materials required in fabrication ◆ Easy extension from single nozzles to pagewidth print heads	◆ Requires supplementary force to effect drop separation ◆ Requires special ink viscosity properties ◆ High speed is difficult to achieve ◆ Requires oscillating ink pressure ◆ A high temperature difference (typically 80 degrees) is required	◆ Silverbrook, EP 0771 658 A2 and related patent applications

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
Acoustic	An acoustic wave is generated and focussed upon the drop ejection region.	◆ Can operate without a nozzle plate	◆ Complex drive circuitry ◆ Complex fabrication ◆ Low efficiency ◆ Poor control of drop position ◆ Poor control of drop volume	◆ 1993 Hadimioglu et al, EUP 550,192 ◆ 1993 Elrod et al, EUP 572,220
Thermo-elastic bend actuator	An actuator which relies upon differential thermal expansion upon Joule heating is used.	◆ Low power consumption ◆ Many ink types can be used ◆ Simple planar fabrication ◆ Small chip area required for each actuator ◆ Fast operation ◆ High efficiency ◆ CMOS compatible voltages and currents ◆ Standard MEMS processes can be used ◆ Easy extension from single nozzles to pagewidth print heads	◆ Efficient aqueous operation requires a thermal insulator on the hot side ◆ Corrosion prevention can be difficult ◆ Pigmented inks may be infeasible, as pigment particles may jam the bend actuator	◆ IJ03, IJ09, IJ17, IJ18, IJ19, IJ20, IJ21, IJ22, IJ23, IJ24, IJ27, IJ28, IJ29, IJ30, IJ31, IJ32, IJ33, IJ34, IJ35, IJ36, IJ37, IJ38 ,IJ39, IJ40, IJ41

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
High CTE thermo-elastic actuator	A material with a very high coefficient of thermal expansion (CTE) such as polytetrafluoroethylene (PTFE) is used. As high CTE materials are usually non-conductive, a heater fabricated from a conductive material is incorporated. A 50 µm long PTFE bend actuator with polysilicon heater and 15 mW power input can provide 180 µN force and 10 µm deflection. Actuator motions include: Bend Push Buckle Rotate	◆ High force can be generated ◆ Three methods of PTFE deposition are under development: chemical vapor deposition (CVD), spin coating, and evaporation ◆ PTFE is a candidate for low dielectric constant insulation in ULSI ◆ Very low power consumption ◆ Many ink types can be used ◆ Simple planar fabrication ◆ Small chip area required for each actuator ◆ Fast operation ◆ High efficiency ◆ CMOS compatible voltages and currents ◆ Easy extension from single nozzles to pagewidth print heads	◆ Requires special material (e.g. PTFE) ◆ Requires a PTFE deposition process, which is not yet standard in ULSI fabs ◆ PTFE deposition cannot be followed with high temperature (above 350 °C) processing ◆ Pigmented inks may be infeasible, as pigment particles may jam the bend actuator	◆ IJ09, IJ17, IJ18, IJ20, IJ21, IJ22, IJ23, IJ24, IJ27, IJ28, IJ29, IJ30, IJ31, IJ42, IJ43, IJ44

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
Conduct-ive polymer thermo-elastic actuator	A polymer with a high coefficient of thermal expansion (such as PTFE) is doped with conducting substances to increase its conductivity to about 3 orders of magnitude below that of copper. The conducting polymer expands when resistively heated. Examples of conducting dopants include: Carbon nanotubes Metal fibers Conductive polymers such as doped polythiophene Carbon granules	◆ High force can be generated ◆ Very low power consumption ◆ Many ink types can be used ◆ Simple planar fabrication ◆ Small chip area required for each actuator ◆ Fast operation ◆ High efficiency ◆ CMOS compatible voltages and currents ◆ Easy extension from single nozzles to pagewidth print heads	◆ Requires special materials development (High CTE conductive polymer) ◆ Requires a PTFE deposition process, which is not yet standard in ULSI fabs ◆ PTFE deposition cannot be followed with high temperature (above 350 °C) processing ◆ Evaporation and CVD deposition techniques cannot be used ◆ Pigmented inks may be infeasible, as pigment particles may jam the bend actuator	◆ IJ24
Shape memory alloy	A shape memory alloy such as TiNi (also known as Nitinol - Nickel Titanium alloy developed at the Naval Ordnance Laboratory) is thermally switched between its weak martensitic state and its high stiffness austenic state. The shape of the actuator in its martensitic state is deformed relative to the austenic shape. The shape change causes ejection of a drop.	◆ High force is available (stresses of hundreds of MPa) ◆ Large strain is available (more than 3%) ◆ High corrosion resistance ◆ Simple construction ◆ Easy extension from single nozzles to pagewidth print heads ◆ Low voltage operation	◆ Fatigue limits maximum number of cycles ◆ Low strain (1%) is required to extend fatigue resistance ◆ Cycle rate limited by heat removal ◆ Requires unusual materials (TiNi) ◆ The latent heat of transformation must be provided ◆ High current operation ◆ Requires pre-stressing to distort the martensitic state	◆ IJ26

ACTUATOR MECHANISM (APPLIED ONLY TO SELECTED INK DROPS)				
	Description	**Advantages**	**Disadvantages**	**Examples**
Linear Magnetic Actuator	Linear magnetic actuators include the Linear Induction Actuator (LIA), Linear Permanent Magnet Synchronous Actuator (LPMSA), Linear Reluctance Synchronous Actuator (LRSA), Linear Switched Reluctance Actuator (LSRA), and the Linear Stepper Actuator (LSA).	◆ Linear Magnetic actuators can be constructed with high thrust, long travel, and high efficiency using planar semiconductor fabrication techniques ◆ Long actuator travel is available ◆ Medium force is available ◆ Low voltage operation	◆ Requires unusual semiconductor materials such as soft magnetic alloys (e.g. CoNiFe) ◆ Some varieties also require permanent magnetic materials such as Neodymium iron boron (NdFeB) ◆ Requires complex multi-phase drive circuitry ◆ High current operation	◆ IJ12

BASIC OPERATION MODE				
	Description	**Advantages**	**Disadvantages**	**Examples**
Actuator directly pushes ink	This is the simplest mode of operation: the actuator directly supplies sufficient kinetic energy to expel the drop. The drop must have a sufficient velocity to overcome the surface tension.	◆ Simple operation ◆ No external fields required ◆ Satellite drops can be avoided if drop velocity is less than 4 m/s ◆ Can be efficient, depending upon the actuator used	◆ Drop repetition rate is usually limited to around 10 kHz. However, this is not fundamental to the method, but is related to the refill method normally used ◆ All of the drop kinetic energy must be provided by the actuator ◆ Satellite drops usually form if drop velocity is greater than 4.5 m/s	◆ Thermal ink jet ◆ Piezoelectric ink jet ◆ IJ01, IJ02, IJ03, IJ04, IJ05, IJ06, IJ07, IJ09, IJ11, IJ12, IJ14, IJ16, IJ20, IJ22, IJ23, IJ24, IJ25, IJ26, IJ27, IJ28, IJ29, IJ30, IJ31, IJ32, IJ33, IJ34, IJ35, IJ36, IJ37, IJ38, IJ39, IJ40, IJ41, IJ42, IJ43, IJ44
Proximity	The drops to be printed are selected by some manner (e.g. thermally induced surface tension reduction of pressurized ink). Selected drops are separated from the ink in the nozzle by contact with the print medium or a transfer roller.	◆ Very simple print head fabrication can be used ◆ The drop selection means does not need to provide the energy required to separate the drop from the nozzle	◆ Requires close proximity between the print head and the print media or transfer roller ◆ May require two print heads printing alternate rows of the image ◆ Monolithic color print heads are difficult	◆ Silverbrook, EP 0771 658 A2 and related patent applications

BASIC OPERATION MODE				
	Description	**Advantages**	**Disadvantages**	**Examples**
Electro-static pull on ink	The drops to be printed are selected by some manner (e.g. thermally induced surface tension reduction of pressurized ink). Selected drops are separated from the ink in the nozzle by a strong electric field.	◆ Very simple print head fabrication can be used ◆ The drop selection means does not need to provide the energy required to separate the drop from the nozzle	◆ Requires very high electrostatic field ◆ Electrostatic field for small nozzle sizes is above air breakdown ◆ Electrostatic field may attract dust	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Tone-Jet
Magnetic pull on ink	The drops to be printed are selected by some manner (e.g. thermally induced surface tension reduction of pressurized ink). Selected drops are separated from the ink in the nozzle by a strong magnetic field acting on the magnetic ink.	◆ Very simple print head fabrication can be used ◆ The drop selection means does not need to provide the energy required to separate the drop from the nozzle	◆ Requires magnetic ink ◆ Ink colors other than black are difficult ◆ Requires very high magnetic fields	◆ Silverbrook, EP 0771 658 A2 and related patent applications
Shutter	The actuator moves a shutter to block ink flow to the nozzle. The ink pressure is pulsed at a multiple of the drop ejection frequency.	◆ High speed (>50 kHz) operation can be achieved due to reduced refill time ◆ Drop timing can be very accurate ◆ The actuator energy can be very low	◆ Moving parts are required ◆ Requires ink pressure modulator ◆ Friction and wear must be considered ◆ Stiction is possible	◆ IJ13, IJ17, IJ21
Shuttered grill	The actuator moves a shutter to block ink flow through a grill to the nozzle. The shutter movement need only be equal to the width of the grill holes.	◆ Actuators with small travel can be used ◆ Actuators with small force can be used ◆ High speed (>50 kHz) operation can be achieved	◆ Moving parts are required ◆ Requires ink pressure modulator ◆ Friction and wear must be considered ◆ Stiction is possible	◆ IJ08, IJ15, IJ18, IJ19
Pulsed magnetic pull on ink pusher	A pulsed magnetic field attracts an 'ink pusher' at the drop ejection frequency. An actuator controls a catch, which prevents the ink pusher from moving when a drop is not to be ejected.	◆ Extremely low energy operation is possible ◆ No heat dissipation problems	◆ Requires an external pulsed magnetic field ◆ Requires special materials for both the actuator and the ink pusher ◆ Complex construction	◆ IJ10

AUXILIARY MECHANISM (APPLIED TO ALL NOZZLES)				
	Description	**Advantages**	**Disadvantages**	**Examples**
None	The actuator directly fires the ink drop, and there is no external field or other mechanism required.	◆ Simplicity of construction ◆ Simplicity of operation ◆ Small physical size	◆ Drop ejection energy must be supplied by individual nozzle actuator	◆ Most ink jets, including piezoelectric and thermal bubble. ◆ IJ01, IJ02, IJ03, IJ04, IJ05, IJ07, IJ09, IJ11, IJ12, IJ14, IJ20, IJ22, IJ23, IJ24, IJ25, IJ26, IJ27, IJ28, IJ29, IJ30, IJ31, IJ32, IJ33, IJ34, IJ35, IJ36, IJ37, IJ38, IJ39, IJ40, IJ41, IJ42, IJ43, IJ44
Oscillating ink pressure (including acoustic stimul-ation)	The ink pressure oscillates, providing much of the drop ejection energy. The actuator selects which drops are to be fired by selectively blocking or enabling nozzles. The ink pressure oscillation may be achieved by vibrating the print head, or preferably by an actuator in the ink supply.	◆ Oscillating ink pressure can provide a refill pulse, allowing higher operating speed ◆ The actuators may operate with much lower energy ◆ Acoustic lenses can be used to focus the sound on the nozzles	◆ Requires external ink pressure oscillator ◆ Ink pressure phase and amplitude must be carefully controlled ◆ Acoustic reflections in the ink chamber must be designed for	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ IJ08, IJ13, IJ15, IJ17, IJ18, IJ19, IJ21
Media proximity	The print head is placed in close proximity to the print medium. Selected drops protrude from the print head further than unselected drops, and contact the print medium. The drop soaks into the medium fast enough to cause drop separation.	◆ Low power ◆ High accuracy ◆ Simple print head construction	◆ Precision assembly required ◆ Paper fibers may cause problems ◆ Cannot print on rough substrates	◆ Silverbrook, EP 0771 658 A2 and related patent applications
Transfer roller	Drops are printed to a transfer roller instead of straight to the print medium. A transfer roller can also be used for proximity drop separation.	◆ High accuracy ◆ Wide range of print substrates can be used ◆ Ink can be dried on the transfer roller	◆ Bulky ◆ Expensive ◆ Complex construction	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Tektronix hot melt piezoelectric ink jet ◆ Any of the IJ series

AUXILIARY MECHANISM (APPLIED TO ALL NOZZLES)					
	Description	**Advantages**	**Disadvantages**	**Examples**
Electro-static	An electric field is used to accelerate selected drops towards the print medium.	◆ Low power ◆ Simple print head construction	◆ Field strength required for separation of small drops is near or above air breakdown	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Tone-Jet
Direct magnetic field	A magnetic field is used to accelerate selected drops of magnetic ink towards the print medium.	◆ Low power ◆ Simple print head construction	◆ Requires magnetic ink ◆ Requires strong magnetic field	◆ Silverbrook, EP 0771 658 A2 and related patent applications
Cross magnetic field	The print head is placed in a constant magnetic field. The Lorenz force in a current carrying wire is used to move the actuator.	◆ Does not require magnetic materials to be integrated in the print head manufacturing process	◆ Requires external magnet ◆ Current densities may be high, resulting in electromigration problems	◆ IJ06, IJ16
Pulsed magnetic field	A pulsed magnetic field is used to cyclically attract a paddle, which pushes on the ink. A small actuator moves a catch, which selectively prevents the paddle from moving.	◆ Very low power operation is possible ◆ Small print head size	◆ Complex print head construction ◆ Magnetic materials required in print head	◆ IJ10

ACTUATOR AMPLIFICATION OR MODIFICATION METHOD				
	Description	**Advantages**	**Disadvantages**	**Examples**
None	No actuator mechanical amplification is used. The actuator directly drives the drop ejection process.	◆ Operational simplicity	◆ Many actuator mechanisms have insufficient travel, or insufficient force, to efficiently drive the drop ejection process	◆ Thermal Bubble Ink jet ◆ IJ01, IJ02, IJ06, IJ07, IJ16, IJ25, IJ26
Differential expansion bend actuator	An actuator material expands more on one side than on the other. The expansion may be thermal, piezoelectric, magnetostrictive, or other mechanism. The bend actuator converts a high force low travel actuator mechanism to high travel, lower force mechanism.	◆ Provides greater travel in a reduced print head area	◆ High stresses are involved ◆ Care must be taken that the materials do not delaminate ◆ Residual bend resulting from high temperature or high stress during formation	◆ Piezoelectric ◆ IJ03, IJ09, IJ17, IJ18, IJ19, IJ20, IJ21, IJ22, IJ23, IJ24, IJ27, IJ29, IJ30, IJ31, IJ32, IJ33, IJ34, IJ35, IJ36, IJ37, IJ38, IJ39, IJ42, IJ43, IJ44
Transient bend actuator	A trilayer bend actuator where the two outside layers are identical. This cancels bend due to ambient temperature and residual stress. The actuator only responds to transient heating of one side or the other.	◆ Very good temperature stability ◆ High speed, as a new drop can be fired before heat dissipates ◆ Cancels residual stress of formation	◆ High stresses are involved ◆ Care must be taken that the materials do not delaminate	◆ IJ40, IJ41
Reverse spring	The actuator loads a spring. When the actuator is turned off, the spring releases. This can reverse the force/distance curve of the actuator to make it compatible with the force/time requirements of the drop ejection.	◆ Better coupling to the ink	◆ Fabrication complexity ◆ High stress in the spring	◆ IJ05, IJ11
Actuator stack	A series of thin actuators are stacked. This can be appropriate where actuators require high electric field strength, such as electrostatic and piezoelectric actuators.	◆ Increased travel ◆ Reduced drive voltage	◆ Increased fabrication complexity ◆ Increased possibility of short circuits due to pinholes	◆ Some piezoelectric ink jets ◆ IJ04

ACTUATOR AMPLIFICATION OR MODIFICATION METHOD				
	Description	**Advantages**	**Disadvantages**	**Examples**
Multiple actuators	Multiple smaller actuators are used simultaneously to move the ink. Each actuator need provide only a portion of the force required.	◆ Increases the force available from an actuator ◆ Multiple actuators can be positioned to control ink flow accurately	◆ Actuator forces may not add linearly, reducing efficiency	◆ IJ12, IJ13, IJ18, IJ20, IJ22, IJ28, IJ42, IJ43
Linear Spring	A linear spring is used to transform a motion with small travel and high force into a longer travel, lower force motion.	◆ Matches low travel actuator with higher travel requirements ◆ Non-contact method of motion transformation	◆ Requires print head area for the spring	◆ IJ15
Coiled actuator	A bend actuator is coiled to provide greater travel in a reduced chip area.	◆ Increases travel ◆ Reduces chip area ◆ Planar implementations are relatively easy to fabricate.	◆ Generally restricted to planar implementations due to extreme fabrication difficulty in other orientations.	◆ IJ17, IJ21, IJ34, IJ35
Flexure bend actuator	A bend actuator has a small region near the fixture point, which flexes much more readily than the remainder of the actuator. The actuator flexing is effectively converted from an even coiling to an angular bend, resulting in greater travel of the actuator tip.	◆ Simple means of increasing travel of a bend actuator	◆ Care must be taken not to exceed the elastic limit in the flexure area ◆ Stress distribution is very uneven ◆ Difficult to accurately model with finite element analysis	◆ IJ10, IJ19, IJ33
Catch	The actuator controls a small catch. The catch either enables or disables movement of an ink pusher that is controlled in a bulk manner.	◆ Very low actuator energy ◆ Very small actuator size	◆ Complex construction ◆ Requires external force ◆ Unsuitable for pigmented inks	◆ IJ10
Gears	Gears can be used to increase travel at the expense of duration. Circular gears, rack and pinion, ratchets, and other gearing methods can be used.	◆ Low force, low travel actuators can be used ◆ Can be fabricated using standard surface MEMS processes	◆ Moving parts are required ◆ Several actuator cycles are required ◆ More complex drive electronics ◆ Complex construction ◆ Friction, friction, and wear are possible	◆ IJ13

ACTUATOR AMPLIFICATION OR MODIFICATION METHOD				
	Description	**Advantages**	**Disadvantages**	**Examples**
Buckle plate	A buckle plate can be used to change a slow actuator into a fast motion. It can also convert a high force, low travel actuator into a high travel, medium force motion.	◆ Very fast movement achievable	◆ Must stay within elastic limits of the materials for long device life ◆ High stresses involved ◆ Generally high power requirement	◆ S. Hirata et al, "An Ink-jet Head Using Diaphragm Microactuator", Proc. IEEE MEMS, Feb. 1996, pp 418-423. ◆ IJ18, IJ27
Tapered magnetic pole	A tapered magnetic pole can increase travel at the expense of force.	◆ Linearizes the magnetic force/distance curve	◆ Complex construction	◆ IJ14
Lever	A lever and fulcrum is used to transform a motion with small travel and high force into a motion with longer travel and lower force. The lever can also reverse the direction of travel.	◆ Matches low travel actuator with higher travel requirements ◆ Fulcrum area has no linear movement, and can be used for a fluid seal	◆ High stress around the fulcrum	◆ IJ32, IJ36, IJ37
Rotary impeller	The actuator is connected to a rotary impeller. A small angular deflection of the actuator results in a rotation of the impeller vanes, which push the ink against stationary vanes and out of the nozzle.	◆ High mechanical advantage ◆ The ratio of force to travel of the actuator can be matched to the nozzle requirements by varying the number of impeller vanes	◆ Complex construction ◆ Unsuitable for pigmented inks	◆ IJ28
Acoustic lens	A refractive or diffractive (e.g. zone plate) acoustic lens is used to concentrate sound waves.	◆ No moving parts	◆ Large area required ◆ Only relevant for acoustic ink jets	◆ 1993 Hadimioglu et al, EUP 550,192 ◆ 1993 Elrod et al, EUP 572,220
Sharp conductive point	A sharp point is used to concentrate an electrostatic field.	◆ Simple construction	◆ Difficult to fabricate using standard VLSI processes for a surface ejecting ink-jet ◆ Only relevant for electrostatic ink jets	◆ Tone-jet

ACTUATOR MOTION				
	Description	**Advantages**	**Disadvantages**	**Examples**
Volume expansion	The volume of the actuator changes, pushing the ink in all directions.	◆ Simple construction in the case of thermal ink jet	◆ High energy is typically required to achieve volume expansion. This leads to thermal stress, cavitation, and kogation in thermal ink jet implementations	◆ Hewlett-Packard Thermal Ink jet ◆ Canon Bubblejet
Linear, normal to chip surface	The actuator moves in a direction normal to the print head surface. The nozzle is typically in the line of movement.	◆ Efficient coupling to ink drops ejected normal to the surface	◆ High fabrication complexity may be required to achieve perpendicular motion	◆ IJ01, IJ02, IJ04, IJ07, IJ11, IJ14
Parallel to chip surface	The actuator moves parallel to the print head surface. Drop ejection may still be normal to the surface.	◆ Suitable for planar fabrication	◆ Fabrication complexity ◆ Friction ◆ Stiction	◆ IJ12, IJ13, IJ15, IJ33, , IJ34, IJ35, IJ36
Membrane push	An actuator with a high force but small area is used to push a stiff membrane that is in contact with the ink.	◆ The effective area of the actuator becomes the membrane area	◆ Fabrication complexity ◆ Actuator size ◆ Difficulty of integration in a VLSI process	◆ 1982 Howkins USP 4,459,601
Rotary	The actuator causes the rotation of some element, such a grill or impeller	◆ Rotary levers may be used to increase travel ◆ Small chip area requirements	◆ Device complexity ◆ May have friction at a pivot point	◆ IJ05, IJ08, IJ13, IJ28
Bend	The actuator bends when energized. This may be due to differential thermal expansion, piezoelectric expansion, magnetostriction, or other form of relative dimensional change.	◆ A very small change in dimensions can be converted to a large motion.	◆ Requires the actuator to be made from at least two distinct layers, or to have a thermal difference across the actuator	◆ 1970 Kyser et al USP 3,946,398 ◆ 1973 Stemme USP 3,747,120 ◆ IJ03, IJ09, IJ10, IJ19, IJ23, IJ24, IJ25, IJ29, IJ30, IJ31, IJ33, IJ34, IJ35
Swivel	The actuator swivels around a central pivot. This motion is suitable where there are opposite forces applied to opposite sides of the paddle, e.g. Lorenz force.	◆ Allows operation where the net linear force on the paddle is zero ◆ Small chip area requirements	◆ Inefficient coupling to the ink motion	◆ IJ06

ACTUATOR MOTION				
	Description	**Advantages**	**Disadvantages**	**Examples**
Straighten	The actuator is normally bent, and straightens when energized.	◆ Can be used with shape memory alloys where the austenic phase is planar	◆ Requires careful balance of stresses to ensure that the quiescent bend is accurate	◆ IJ26, IJ32
Double bend	The actuator bends in one direction when one element is energized, and bends the other way when another element is energized.	◆ One actuator can be used to power two nozzles. ◆ Reduced chip size. ◆ Not sensitive to ambient temperature	◆ Difficult to make the drops ejected by both bend directions identical. ◆ A small efficiency loss compared to equivalent single bend actuators.	◆ IJ36, IJ37, IJ38
Shear	Energizing the actuator causes a shear motion in the actuator material.	◆ Can increase the effective travel of piezoelectric actuators	◆ Not readily applicable to other actuator mechanisms	◆ 1985 Fishbeck USP 4,584,590
Radial con-striction	The actuator squeezes an ink reservoir, forcing ink from a constricted nozzle.	◆ Relatively easy to fabricate single nozzles from glass tubing as macroscopic structures	◆ High force required ◆ Inefficient ◆ Difficult to integrate with VLSI processes	◆ 1970 Zoltan USP 3,683,212
Coil / uncoil	A coiled actuator uncoils or coils more tightly. The motion of the free end of the actuator ejects the ink.	◆ Easy to fabricate as a planar VLSI process ◆ Small area required, therefore low cost	◆ Difficult to fabricate for non-planar devices ◆ Poor out-of-plane stiffness	◆ IJ17, IJ21, IJ34, IJ35
Bow	The actuator bows (or buckles) in the middle when energized.	◆ Can increase the speed of travel ◆ Mechanically rigid	◆ Maximum travel is constrained ◆ High force required	◆ IJ16, IJ18, IJ27
Push-Pull	Two actuators control a shutter. One actuator pulls the shutter, and the other pushes it.	◆ The structure is pinned at both ends, so has a high out-of-plane rigidity	◆ Not readily suitable for ink jets which directly push the ink	◆ IJ18
Curl inwards	A set of actuators curl inwards to reduce the volume of ink that they enclose.	◆ Good fluid flow to the region behind the actuator increases efficiency	◆ Design complexity	◆ IJ20, IJ42
Curl outwards	A set of actuators curl outwards, pressurizing ink in a chamber surrounding the actuators, and expelling ink from a nozzle in the chamber.	◆ Relatively simple construction	◆ Relatively large chip area	◆ IJ43

ACTUATOR MOTION				
	Description	**Advantages**	**Disadvantages**	**Examples**
Iris	Multiple vanes enclose a volume of ink. These simultaneously rotate, reducing the volume between the vanes.	◆ High efficiency ◆ Small chip area	◆ High fabrication complexity ◆ Not suitable for pigmented inks	◆ IJ22
Acoustic vibration	The actuator vibrates at a high frequency.	◆ The actuator can be physically distant from the ink	◆ Large area required for efficient operation at useful frequencies ◆ Acoustic coupling and crosstalk ◆ Complex drive circuitry ◆ Poor control of drop volume and position	◆ 1993 Hadimioglu et al, EUP 550,192 ◆ 1993 Elrod et al, EUP 572,220
None	In various ink jet designs the actuator does not move.	◆ No moving parts	◆ Various other tradeoffs are required to eliminate moving parts	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Tone-jet

NOZZLE REFILL METHOD				
	Description	**Advantages**	**Disadvantages**	**Examples**
Surface tension	This is the normal way that ink jets are refilled. After the actuator is energized, it typically returns rapidly to its normal position. This rapid return sucks in air through the nozzle opening. The ink surface tension at the nozzle then exerts a small force restoring the meniscus to a minimum area. This force refills the nozzle.	◆ Fabrication simplicity ◆ Operational simplicity	◆ Low speed ◆ Surface tension force relatively small compared to actuator force ◆ Long refill time usually dominates the total repetition rate	◆ Thermal ink jet ◆ Piezoelectric ink jet ◆ IJ01-IJ07, IJ10-IJ14, IJ16, IJ20, IJ22-IJ45
Shuttered oscillating ink pressure	Ink to the nozzle chamber is provided at a pressure that oscillates at twice the drop ejection frequency. When a drop is to be ejected, the shutter is opened for 3 half cycles: drop ejection, actuator return, and refill. The shutter is then closed to prevent the nozzle chamber emptying during the next negative pressure cycle.	◆ High speed ◆ Low actuator energy, as the actuator need only open or close the shutter, instead of ejecting the ink drop	◆ Requires common ink pressure oscillator ◆ May not be suitable for pigmented inks	◆ IJ08, IJ13, IJ15, IJ17, IJ18, IJ19, IJ21
Refill actuator	After the main actuator has ejected a drop a second (refill) actuator is energized. The refill actuator pushes ink into the nozzle chamber. The refill actuator returns slowly, to prevent its return from emptying the chamber again.	◆ High speed, as the nozzle is actively refilled	◆ Requires two independent actuators per nozzle	◆ IJ09
Positive ink pressure	The ink is held a slight positive pressure. After the ink drop is ejected, the nozzle chamber fills quickly as surface tension and ink pressure both operate to refill the nozzle.	◆ High refill rate, therefore a high drop repetition rate is possible	◆ Surface spill must be prevented ◆ Highly hydrophobic print head surfaces are required	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Alternative for:, IJ01-IJ07, IJ10-IJ14, IJ16, IJ20, IJ22-IJ45

METHOD OF RESTRICTING BACK-FLOW THROUGH INLET				
	Description	**Advantages**	**Disadvantages**	**Examples**
Long inlet channel	The ink inlet channel to the nozzle chamber is made long and relatively narrow, relying on viscous drag to reduce inlet back-flow.	◆ Design simplicity ◆ Operational simplicity ◆ Reduces crosstalk	◆ Restricts refill rate ◆ May result in a relatively large chip area ◆ Only partially effective	◆ Thermal ink jet ◆ Piezoelectric ink jet ◆ IJ42, IJ43
Positive ink pressure	The ink is under a positive pressure, so that in the quiescent state some of the ink drop already protrudes from the nozzle. This reduces the pressure in the nozzle chamber which is required to eject a certain volume of ink. The reduction in chamber pressure results in a reduction in ink pushed out through the inlet.	◆ Drop selection and separation forces can be reduced ◆ Fast refill time	◆ Requires a method (such as a nozzle rim or effective hydrophobizing, or both) to prevent flooding of the ejection surface of the print head.	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Possible operation of the following: IJ01-IJ07, IJ09- IJ12, IJ14, IJ16, IJ20, IJ22, , IJ23-IJ34, IJ36- IJ41, IJ44
Baffle	One or more baffles are placed in the inlet ink flow. When the actuator is energized, the rapid ink movement creates eddies which restrict the flow through the inlet. The slower refill process is unrestricted, and does not result in eddies.	◆ The refill rate is not as restricted as the long inlet method. ◆ Reduces crosstalk	◆ Design complexity ◆ May increase fabrication complexity (e.g. Tektronix hot melt Piezoelectric print heads).	◆ HP Thermal Ink Jet ◆ Tektronix piezoelectric ink jet
Flexible flap restricts inlet	In this method recently disclosed by Canon, the expanding actuator (bubble) pushes on a flexible flap that restricts the inlet.	◆ Significantly reduces back-flow for edge-shooter thermal ink jet devices	◆ Not applicable to most ink jet configurations ◆ Increased fabrication complexity ◆ Inelastic deformation of polymer flap results in creep over extended use	◆ Canon

METHOD OF RESTRICTING BACK-FLOW THROUGH INLET				
	Description	**Advantages**	**Disadvantages**	**Examples**
Inlet filter	A filter is located between the ink inlet and the nozzle chamber. The filter has a multitude of small holes or slots, restricting ink flow. The filter also removes particles which may block the nozzle.	◆ Additional advantage of ink filtration ◆ Ink filter may be fabricated with no additional process steps	◆ Restricts refill rate ◆ May result in complex construction	◆ IJ04, IJ12, IJ24, IJ27, IJ29, IJ30
Small inlet compared to nozzle	The ink inlet channel to the nozzle chamber has a substantially smaller cross section than that of the nozzle , resulting in easier ink egress out of the nozzle than out of the inlet.	◆ Design simplicity	◆ Restricts refill rate ◆ May result in a relatively large chip area ◆ Only partially effective	◆ IJ02, IJ37, IJ44
Inlet shutter	A secondary actuator controls the position of a shutter, closing off the ink inlet when the main actuator is energized.	◆ Increases speed of the ink-jet print head operation	◆ Requires separate refill actuator and drive circuit	◆ IJ09
The inlet is located behind the ink-pushing surface	The method avoids the problem of inlet back-flow by arranging the ink-pushing surface of the actuator between the inlet and the nozzle.	◆ Back-flow problem is eliminated	◆ Requires careful design to minimize the negative pressure behind the paddle	◆ IJ01, IJ03, 1J05, IJ06, IJ07, IJ10, IJ11, IJ14, IJ16, IJ22, IJ23, IJ25, IJ28, IJ31, IJ32, IJ33, IJ34, IJ35, IJ36, IJ39, IJ40, IJ41
Part of the actuator moves to shut off the inlet	The actuator and a wall of the ink chamber are arranged so that the motion of the actuator closes off the inlet.	◆ Significant reductions in back-flow can be achieved ◆ Compact designs possible	◆ Small increase in fabrication complexity	◆ IJ07, IJ20, IJ26, IJ38
Nozzle actuator does not result in ink back-flow	In some configurations of ink jet, there is no expansion or movement of an actuator which may cause ink back-flow through the inlet.	◆ Ink back-flow problem is eliminated	◆ None related to ink back-flow on actuation	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Valve-jet ◆ Tone-jet

Nozzle Clearing Method				
	Description	**Advantages**	**Disadvantages**	**Examples**
Normal nozzle firing	All of the nozzles are fired periodically, before the ink has a chance to dry. When not in use the nozzles are sealed (capped) against air. The nozzle firing is usually performed during a special clearing cycle, after first moving the print head to a cleaning station.	◆ No added complexity on the print head	◆ May not be sufficient to displace dried ink	◆ Most ink jet systems ◆ IJ01, IJ02, IJ03, IJ04, IJ05, IJ06, IJ07, IJ09, IJ10, IJ11, IJ12, IJ14, IJ16, IJ20, IJ22, IJ23, IJ24, IJ25, IJ26, IJ27, IJ28, IJ29, IJ30, IJ31, IJ32, IJ33, IJ34, IJ36, IJ37, IJ38, IJ39, IJ40,, IJ41, IJ42, IJ43, IJ44,, IJ45
Extra power to ink heater	In systems which heat the ink, but do not boil it under normal situations, nozzle clearing can be achieved by over-powering the heater and boiling ink at the nozzle.	◆ Can be highly effective if the heater is adjacent to the nozzle	◆ Requires higher drive voltage for clearing ◆ May require larger drive transistors	◆ Silverbrook, EP 0771 658 A2 and related patent applications
Rapid success-ion of actuator pulses	The actuator is fired in rapid succession. In some configurations, this may cause heat build-up at the nozzle which boils the ink, clearing the nozzle. In other situations, it may cause sufficient vibrations to dislodge clogged nozzles.	◆ Does not require extra drive circuits on the print head ◆ Can be readily controlled and initiated by digital logic	◆ Effectiveness depends substantially upon the configuration of the ink jet nozzle	◆ May be used with: IJ01, IJ02, IJ03, IJ04, IJ05, IJ06, IJ07, IJ09, IJ10, IJ11, IJ14, IJ16, IJ20, IJ22, IJ23, IJ24, IJ25, IJ27, IJ28, IJ29, IJ30, IJ31, IJ32, IJ33, IJ34, IJ36, IJ37, IJ38, IJ39, IJ40, IJ41, IJ42, IJ43, IJ44, IJ45
Extra power to ink pushing actuator	Where an actuator is not normally driven to the limit of its motion, nozzle clearing may be assisted by providing an enhanced drive signal to the actuator.	◆ A simple solution where applicable	◆ Not suitable where there is a hard limit to actuator movement	◆ May be used with: IJ03, IJ09, IJ16, IJ20, IJ23, IJ24, IJ25, IJ27, IJ29, IJ30, IJ31, IJ32, IJ39, IJ40, IJ41, IJ42, IJ43, IJ44, IJ45

NOZZLE CLEARING METHOD

	Description	Advantages	Disadvantages	Examples
Acoustic resonance	An ultrasonic wave is applied to the ink chamber. This wave is of an appropriate amplitude and frequency to cause sufficient force at the nozzle to clear blockages. This is easiest to achieve if the ultrasonic wave is at a resonant frequency of the ink cavity.	◆ A high nozzle clearing capability can be achieved ◆ May be implemented at very low cost in systems which already include acoustic actuators	◆ High implementation cost if system does not already include an acoustic actuator	◆ IJ08, IJ13, IJ15, IJ17, IJ18, IJ19, IJ21
Nozzle clearing plate	A microfabricated plate is pushed against the nozzles. The plate has a post for every nozzle. A post moves through each nozzle, displacing dried ink.	◆ Can clear severely clogged nozzles	◆ Accurate mechanical alignment is required ◆ Moving parts are required ◆ There is risk of damage to the nozzles ◆ Accurate fabrication is required	◆ Silverbrook, EP 0771 658 A2 and related patent applications
Ink pressure pulse	The pressure of the ink is temporarily increased so that ink streams from all of the nozzles. This may be used in conjunction with actuator energizing.	◆ May be effective where other methods cannot be used	◆ Requires pressure pump or other pressure actuator ◆ Expensive ◆ Wasteful of ink	◆ May be used with all IJ series ink jets
Print head wiper	A flexible 'blade' is wiped across the print head surface. The blade is usually fabricated from a flexible polymer, e.g. rubber or synthetic elastomer.	◆ Effective for planar print head surfaces ◆ Low cost	◆ Difficult to use if print head surface is non-planar or very fragile ◆ Requires mechanical parts ◆ Blade can wear out in high volume print systems	◆ Many ink jet systems

NOZZLE CLEARING METHOD				
	Description	**Advantages**	**Disadvantages**	**Examples**
Separate ink boiling heater	A separate heater is provided at the nozzle although the normal drop e–ection mechanism does not require it. The heaters do not require individual drive circuits, as many nozzles can be cleared simultaneously, and no imaging is required.	◆ Can be effective where other nozzle clearing methods cannot be used ◆ Can be implemented at no additional cost in some ink jet configurations	◆ Fabrication complexity	◆ Can be used with many IJ series ink jets

NOZZLE PLATE CONSTRUCTION

	Description	Advantages	Disadvantages	Examples
Electro-formed nickel	A nozzle plate is separately fabricated from electroformed nickel, and bonded to the print head chip.	◆ Fabrication simplicity	◆ High temperatures and pressures are required to bond nozzle plate ◆ Minimum thickness constraints ◆ Differential thermal expansion	◆ Hewlett Packard Thermal Ink jet
Laser ablated or drilled polymer	Individual nozzle holes are ablated by an intense UV laser in a nozzle plate, which is typically a polymer such as polyimide or polysulphone	◆ No masks required ◆ Can be quite fast ◆ Some control over nozzle profile is possible ◆ Equipment required is relatively low cost	◆ Each hole must be individually formed ◆ Special equipment required ◆ Slow where there are many thousands of nozzles per print head ◆ May produce thin burrs at exit holes	◆ Canon Bubblejet ◆ 1988 Sercel et al., SPIE, Vol. 998 Excimer Beam Applications, pp. 76-83 ◆ 1993 Watanabe et al., USP 5,208,604
Silicon micro-machined	A separate nozzle plate is micromachined from single crystal silicon, and bonded to the print head wafer.	◆ High accuracy is attainable	◆ Two part construction ◆ High cost ◆ Requires precision alignment ◆ Nozzles may be clogged by adhesive	◆ K. Bean, IEEE Transactions on Electron Devices, Vol. ED-25, No. 10, 1978, pp 1185-1195 ◆ Xerox 1990 Hawkins et al., USP 4,899,181
Glass capillaries	Fine glass capillaries are drawn from glass tubing. This method has been used for making individual nozzles, but is difficult to use for bulk manufacturing of print heads with thousands of nozzles.	◆ No expensive equipment required ◆ Simple to make single nozzles	◆ Very small nozzle sizes are difficult to form ◆ Not suited for mass production	◆ 1970 Zoltan USP 3,683,212
Monolithic, surface micro-machined using VLSI litho-graphic processes	The nozzle plate is deposited as a layer using standard VLSI deposition techniques. Nozzles are etched in the nozzle plate using VLSI lithography and etching.	◆ High accuracy (<1 μm) ◆ Monolithic ◆ Low cost ◆ Existing processes can be used	◆ Requires sacrificial layer under the nozzle plate to form the nozzle chamber ◆ Surface may be fragile to the touch	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ IJ01, IJ02, IJ04, IJ11, IJ12, IJ17, IJ18, IJ20, IJ22, IJ24, IJ27, IJ28, IJ29, IJ30, IJ31, IJ32, IJ33, IJ34, IJ36, IJ37, IJ38, IJ39, IJ40, IJ41, IJ42, IJ43, IJ44

NOZZLE PLATE CONSTRUCTION				
	Description	**Advantages**	**Disadvantages**	**Examples**
Monolithic, etched through substrate	The nozzle plate is a buried etch stop in the wafer. Nozzle chambers are etched in the front of the wafer, and the wafer is thinned from the back side. Nozzles are then etched in the etch stop layer.	◆ High accuracy (<1 μm) ◆ Monolithic ◆ Low cost ◆ No differential expansion	◆ Requires long etch times ◆ Requires a support wafer	◆ IJ03, IJ05, IJ06, IJ07, IJ08, IJ09, IJ10, IJ13, IJ14, IJ15, IJ16, IJ19, IJ21, IJ23, IJ25, IJ26
No nozzle plate	Various methods have been tried to eliminate the nozzles entirely, to prevent nozzle clogging. These include thermal bubble mechanisms and acoustic lens mechanisms	◆ No nozzles to become clogged	◆ Difficult to control drop position accurately ◆ Crosstalk problems	◆ Ricoh 1995 Sekiya et al USP 5,412,413 ◆ 1993 Hadimioglu et al EUP 550,192 ◆ 1993 Elrod et al EUP 572,220
Trough	Each drop ejector has a trough through which a paddle moves. There is no nozzle plate.	◆ Reduced manufacturing complexity ◆ Monolithic	◆ Drop firing direction is sensitive to wicking.	◆ IJ35
Nozzle slit instead of individual nozzles	The elimination of nozzle holes and replacement by a slit encompassing many actuator positions reduces nozzle clogging, but increases crosstalk due to ink surface waves	◆ No nozzles to become clogged	◆ Difficult to control drop position accurately ◆ Crosstalk problems	◆ 1989 Saito et al USP 4,799,068

DROP EJECTION DIRECTION				
	Description	**Advantages**	**Disadvantages**	**Examples**
Edge ('edge shooter')	Ink flow is along the surface of the chip, and ink drops are ejected from the chip edge.	◆ Simple construction ◆ No silicon etching required ◆ Good heat sinking via substrate ◆ Mechanically strong ◆ Ease of chip handing	◆ Nozzles limited to edge ◆ High resolution is difficult ◆ Fast color printing requires one print head per color	◆ Canon Bubblejet 1979 Endo et al GB patent 2,007,162 ◆ Xerox heater-in-pit 1990 Hawkins et al USP 4,899,181 ◆ Tone-jet

DROP EJECTION DIRECTION				
	Description	**Advantages**	**Disadvantages**	**Examples**
Surface ('roof shooter')	Ink flow is along the surface of the chip, and ink drops are ejected from the chip surface, normal to the plane of the chip.	♦ No bulk silicon etching required ♦ Silicon can make an effective heat sink ♦ Mechanical strength	♦ Maximum ink flow is severely restricted	♦ Hewlett-Packard TIJ 1982 Vaught et al USP 4,490,728 ♦ IJ02, IJ11, IJ12, IJ20, IJ22
Through chip, forward ('up shooter')	Ink flow is through the chip, and ink drops are ejected from the front surface of the chip.	♦ High ink flow ♦ Suitable for pagewidth print heads ♦ High nozzle packing density therefore low manufacturing cost	♦ Requires bulk silicon etching	♦ Silverbrook, EP 0771 658 A2 and related patent applications ♦ IJ04, IJ17, IJ18, IJ24, IJ27-IJ45
Through chip, reverse ('down shooter')	Ink flow is through the chip, and ink drops are ejected from the rear surface of the chip.	♦ High ink flow ♦ Suitable for pagewidth print heads ♦ High nozzle packing density therefore low manufacturing cost	♦ Requires wafer thinning ♦ Requires special handling during manufacture	♦ IJ01, IJ03, IJ05, IJ06, IJ07, IJ08, IJ09, IJ10, IJ13, IJ14, IJ15, IJ16, IJ19, IJ21, IJ23, IJ25, IJ26
Through actuator	Ink flow is through the actuator, which is not fabricated as part of the same substrate as the drive transistors.	♦ Suitable for piezoelectric print heads	♦ Pagewidth print heads require several thousand connections to drive circuits ♦ Cannot be manufactured in standard CMOS fabs ♦ Complex assembly required	♦ Epson Stylus ♦ Tektronix hot melt piezoelectric ink jets

INK TYPE				
	Description	**Advantages**	**Disadvantages**	**Examples**
Aqueous, dye	Water based ink which typically contains: water, dye, surfactant, humectant, and biocide. Modern ink dyes have high water-fastness, light fastness	◆ Environmentally friendly ◆ No odor	◆ Slow drying ◆ Corrosive ◆ Bleeds on paper ◆ May strikethrough ◆ Cockles paper	◆ Most existing ink jets ◆ All IJ series ink jets ◆ Silverbrook, EP 0771 658 A2 and related patent applications
Aqueous, pigment	Water based ink which typically contains: water, pigment, surfactant, humectant, and biocide. Pigments have an advantage in reduced bleed, wicking and strikethrough.	◆ Environmentally friendly ◆ No odor ◆ Reduced bleed ◆ Reduced wicking ◆ Reduced strikethrough	◆ Slow drying ◆ Corrosive ◆ Pigment may clog nozzles ◆ Pigment may clog actuator mechanisms ◆ Cockles paper	◆ IJ02, IJ04, IJ21, IJ26, IJ27, IJ30 ◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Piezoelectric ink-jets ◆ Thermal ink jets (with significant restrictions)
Methyl Ethyl Ketone (MEK)	MEK is a highly volatile solvent used for industrial printing on difficult surfaces such as aluminum cans.	◆ Very fast drying ◆ Prints on various substrates such as metals and plastics	◆ Odorous ◆ Flammable	◆ All IJ series ink jets
Alcohol **(ethanol, 2-butanol, and others)**	Alcohol based inks can be used where the printer must operate at temperatures below the freezing point of water. An example of this is in-camera consumer photographic printing.	◆ Fast drying ◆ Operates at sub-freezing temperatures ◆ Reduced paper cockle ◆ Low cost	◆ Slight odor ◆ Flammable	◆ All IJ series ink jets
Phase change **(hot melt)**	The ink is solid at room temperature, and is melted in the print head before jetting. Hot melt inks are usually wax based, with a melting point around 80 °C. After jetting the ink freezes almost instantly upon contacting the print medium or a transfer roller.	◆ No drying time- ink instantly freezes on the print medium ◆ Almost any print medium can be used ◆ No paper cockle occurs ◆ No wicking occurs ◆ No bleed occurs ◆ No strikethrough occurs	◆ High viscosity ◆ Printed ink typically has a 'waxy' feel ◆ Printed pages may 'block' ◆ Ink temperature may be above the curie point of permanent magnets ◆ Ink heaters consume power ◆ Long warm-up time	◆ Tektronix hot melt piezoelectric ink jets ◆ 1989 Nowak USP 4,820,346 ◆ All IJ series ink jets

INK TYPE				
	Description	**Advantages**	**Disadvantages**	**Examples**
Oil	Oil based inks are extensively used in offset printing. They have advantages in improved characteristics on paper (especially no wicking or cockle). Oil soluble dies and pigments are required.	◆ High solubility medium for some dyes ◆ Does not cockle paper ◆ Does not wick through paper	◆ High viscosity: this is a significant limitation for use in ink jets, which usually require a low viscosity. Some short chain and multi-branched oils have a sufficiently low viscosity. ◆ Slow drying	◆ All IJ series ink jets
Micro-emulsion	A microemulsion is a stable, self forming emulsion of oil, water, and surfactant. The characteristic drop size is less than 100 nm, and is determined by the preferred curvature of the surfactant.	◆ Stops ink bleed ◆ High dye solubility ◆ Water, oil, and amphiphilic soluble dies can be used ◆ Can stabilize pigment suspensions	◆ Viscosity higher than water ◆ Cost is slightly higher than water based ink ◆ High surfactant concentration required (around 5%)	◆ All IJ series ink jets